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Application Number

5

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

08/977,846 Filing Date November 25, 1997 First Named Inventor John O. RYAN Art Unit 3628 **Examiner Name** T. Dixon Attorney Docket Number 549222000101

ENCLOSURES (Check all that apply)								
Fee Transmittal Form		Drawing(s)		After Allowance Communication to TC				
Fee Attached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences				
Amendment/Reply		X Petition (4 pages)		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)				
After Final		Petition to Convert to a Provisional Application		Proprietary Information				
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address		Status Letter				
Extension of Time Request		Terminal Disclaimer		X Other Enclosure(s) (please Identify below):				
Express Abandonment Request		Request for Refund		Return Receipt Postcard				
Information Disclosure Statement		CD, Number of CD(s)						
Certified Copy of Priority Document(s)		Landscape Table on CD						
Reply to Missing Parts/ Incomplete Application		Remarks						
Reply to Missing Parts under 37 CFR 1.52 or 1.53								
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm Name	MORRISON & FOERSTER LLP (Customer No. 25226)							
Signature	norkline							
Printed name	Norman R. Klivans	v						
Date	April 12, 2007	. F	Reg. No.	33,003				

below in an envelope addresse	d to: Chief Admin	istrative Patent Jud	ge, Board of Pa	press Mail, Airbill No.	EV 582593125 US, on the date shown references, MS Appeal Brief - Patents,
Commissioner for Patents, P.C	. Box 1450, Alexa	indria, XA_22813-1	450.	1) .	
Dated: April 12, 2007	Signature:	Phy	gua	Mado	(Georgina Matos)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 582593125 US, in an envelope addressed to: Chief Administrative Patent Judge, Board of Patent Appeals and Interferences, MS Appeal Brief - Patents, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown

April 12 2007 Signature:

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Docket No.: 549222000101

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

John O. RYAN

Application No.: 08/977,846

Confirmation No.: 3572

Filed: November 25, 1997

Art Unit: 3628

For: METHOD AND SYSTEM FOR

Examiner: T. Dixon

INFORMATION DISSEMINATION WITH USER MENU INTERFACE (AS AMENDED)

PETITION UNDER 37 CFR §41.3

Chief Administrative Patent Judge Board of Patent Appeals and Interferences MS Appeal Brief - Patents Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant/Appellant submits this Petition in the above appealed case responsive to the Notification of Non-Compliant Appeal Brief dated March 15, 2007. Contemporaneous with this paper but under separate cover, a revised Appeal Brief has been filed.

This petition requests that (1) the original Appeal Brief be entered; and (2) in the alternative, the revised Appeal Brief filed responsive to the Notification be entered.

Notification of Non-Compliant Appeal Brief

The Patent Office issued its Notification of Non-Compliant Appeal Brief dated March 15, 2007. The original Appeal Brief was filed January 9, 2007.

The Notification of Non-Compliant Appeal Brief, it is respectfully submitted, is not in accordance with the rules and goes beyond the rules in making requirements not present in the rules.

Grounds for Petition

It is respectfully submitted that the Notification of Non-Compliant Appeal Brief substantively goes beyond the rules in making requirements not required therein. Further, it is understood the Notification of Non-Compliant Appeal Brief was not made by the Examiner but by an official acting under the authority of the Board of Patent Appeals and Interferences at the Patent Appeal Center so this petition is made per Rule 41.3.

Notice of Non-Compliant Appeal Brief Goes Beyond the Rules

The Notification of Non-Compliant Appeal Brief substantively makes requirements not stated in the rules.

In paragraph 4 of the Notification, the Notification states at the bottom of the paper "The brief does not map independent claim(s) (1 and 58) to the specification by page and line number and to the drawing, if any, by reference character."

There is <u>no</u> such requirement in the rules. Rule 41.37 states in paragraph 5 "Summary of claimed subject matter. A concise explanation of the subject matter defined in each of the independent claims involved in the appeal, which shall refer to the specification by page and line number, and the drawing, if any, by reference characters." There is <u>no</u> requirement to "map" anything, or to make an element-by-element or limitation-by-limitation reference to the specification. The originally filed Appeal Brief did at pages 2 through 3 point to the various pages and lines in the specification which describe the subject matter of the claims, and refers to the figures by reference number also.

(It is also noted that the reference in the rules to "shall refer to the specification by page and line number" is now anachronistic since line numbers are no longer used in patent specifications, but only paragraph numbers.)

Therefore, it is respectfully submitted that the originally filed Appeal Brief did conform to this portion of the rules.

Appendices

The Notification in paragraph 10 stated that there was no reference to a related proceedings appendix in the Appeal Brief. Apparently the Board believes there must be a reference to same, even if there is no such appendix. However the rules clearly do not contemplate or require this. There is <u>no</u> indication that one must state that there is <u>no</u> such related proceedings appendix. It is clear that the absence of an appendix and the statement there are no related appeals and interferences (see page 1 of the Brief) indicates there is none.

Rule 41.37(x) does <u>not</u> say that one must include such a heading if there is no such appendix.

Moreover, section (c) of Rule 41.37 says "The brief shall contain the following items under appropriate headings..." Clearly if there is no such item, there is no requirement for the heading. Therefore it is believed that this portion of the Notification is clearly superfluous and incorrect.

Petition Request

It is respectfully requested that the originally filed Appeal Brief be entered since it complied with the rules, that the Notification of Non-Compliant Appeal Brief be canceled or quashed, and this case be decided by the Board promptly.

It is further pointed out that the delay by the Patent Office in issuing the Notification, which is not compliant with the rules, increased the time before which any patent might begin its patent term from this application, thereby prejudicing Applicant.

New Appeal Brief

Applicant has also filed (separately) a new Appeal Brief in this case merely in order to expedite prosecution. It is respectfully petitioned that this be entered under this Petition if the original Appeal Brief is not so entered.

Petition Fee

37 CFR §41.3 paragraph (c) says "The fee set in §41.20(a) must accompany any petition under this section except no fee is required for a petition under this section seeking supervisory review." If indeed this is considered a case for "supervisory review" then no such fee is required. It is therefore requested that no such fee be charged. However if this is not a case for supervisory review, the undersigned gives permission to charge **Deposit Account No. 03-1952** under the name of Morrison & Foerster LLP for the petition fee of \$400 under §41.20, and any other amounts considered due for this petition, referencing Attorney Docket No. 549222000101.

Dated: April 12, 2007

Respectfully submitted,

Norman R. Klivans

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